

## **SECURITIES ACCOUNT OPENING GUIDELINE (Apply for Foreign Individual)**

### **STEP 1: APPLY FOR THE SECURITIES TRADING CODE (STC)**

Investor will prepare the application with required documents as below:

- Application for Securities Trading Code: 1 copy
- Power of Attorney: 1 copy
- Passport: 1 copy

### **STEP 2: OPEN BANK ACCOUNT FOR SECURITIES TRADING SETTLEMENT AT PARTNER BANK OF MSVN: EXIMBANK or BIDV**

Individual Investor must come to any branch of Eximbank/ BIDV directly to open IICA with required documents as below:

- Passport: 1 copy (must be certified true copy by Vietnamese Embassy or Vietnamese Notary Public).
- Required documents of Eximbank/ BIDV

### **STEP 3: OPENING A SECURITIES TRADING ACCOUNT**

Documents required:

- Account Opening Contract with MSVN: 2 copies*
- Application for Securities Trading Code: *1 copy*
- Power of attorney: 1 copy
- US Citizen: form W-9
- Specified US person: form W-8BEN
- Passport: 1 copy*

#### **Note:**

Passport must be certified true copy by Vietnamese Embassy or Vietnamese Notary Public. Another application for securities trading code will be made by MSVN and sent together with the investor's documentation to VSDC.

In case the investor has already had a securities trading code and trading account with another broker and would like to open the trading account with MSVN, the investor just has to submit:

Documents required:

- Account Opening Contract with MSVN: 2 copies*
- Securities Trading Code Certificate: 1 copy*
- Passport: 1 copy*

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